

Exercises  
**Approximation Algorithms**  
 Spring 2010  
 Sheet 7

**Exercise 1**

The following is called the SANTA CLAUS PROBLEM: Santa Claus has presents  $1, \dots, n$ , that he wants to distribute among children  $1, \dots, m$ , where  $p_{ij}$  is the value that kid  $i$  has for present  $j$ . Santa's goal is that the least luckiest kid is as happy as possible, that means he tries to achieve

$$OPT = \max_{I_1 \cup \dots \cup I_m = \{1, \dots, n\}} \left\{ \min_{i=1, \dots, m} \left\{ \sum_{j \in I_i} p_{ij} \right\} \right\}$$

Suppose you know the value of  $OPT$ . Let  $p_{\max} := \max_{i=1, \dots, m} \max_{j=1, \dots, n} p_{ij}$ . Give a polynomial time algorithm that assigns the presents to children such that the happiness of every child is at least  $OPT - p_{\max}$ . Does this give you any approximation factor?

**Hint:** Probably you already noticed that this problem has much in common with the UNRELATED MACHINE SCHEDULING problem from the lecture.

**Solution:**

We use variable  $x_{ij}$  to decide, whether to assign present  $j$  to child  $i$ . Let  $J$  be the set of presents and  $M$  be the set of children.

- (1) Compute basic solution  $x^*$  to

$$\begin{aligned} \sum_{i \in M} x_{ij} &= 1 \quad \forall j \in J \\ \sum_{j \in J} p_{ij} x_{ij} &\geq OPT \quad \forall i \in M \\ x_{ij} &\geq 0 \quad \forall i \in M \forall j \in J \end{aligned}$$

- (2)  $x_{ij}^* = 1 \Rightarrow$  assign present  $j$  to child  $i$   
 (3) For not yet assigned presents: Assign present  $j$  to a child  $i$  with  $0 < x_{ij}^* < 1$  s.t. every child receives at most 1 present less

Let us now argue, how step (3) is possible. We consider again the bipartite graph  $H = (J \cup M, E)$  with  $E = \{(j, i) \mid 0 < x_{ij}^* < 1\}$ . Let  $LP(\bar{E})$  be the LP that we obtain by freezing variables not in  $\bar{E}$ . Then the induced solution  $\bar{x}^* = (x_{ij}^*)_{(j,i) \in \bar{E}}$  is still a basic solution of that LP.  $LP(\bar{E})$  has  $|\bar{J}| + |\bar{M}|$  constraints, hence

$$|\bar{E}| = |\{(j, i) \mid 0 < x_{ij}^* < 1\}| \leq |\bar{J}| + |\bar{M}|$$

Since  $\bar{E}$  is a connected subgraph, it must be a tree plus at most one more edge.

Do the following: Remove all childs that are leaves (then the child gets 1 present less than in  $OPT$ ). If then a present becomes a leaf then give the present to the father (which is a child) and remove the present.

We are left with an even length child-present cycle. Now take one of the 2 perfect matchings in it and assign the presents to the matched childs. In such a way, we take a way at most 1 present from each child. More precisely consider any child  $i \in M$ . From the set of presents  $j$  with  $0 < x_{ij}^* < 1$ , the child receives all but at most one present completely.

Unfortunately this algorithm does not guarantee any approximation factor since one may have  $p_{ij} = OPT$  for some pairs  $i, j$ , then some children might get almost  $OPT - p_{ij} \approx 0$ .

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## Exercise 2

Recall that the following problem is **NP**-hard:

**3-DIM MATCHING:** Given disjoint sets  $A = \{a_1, \dots, a_n\}, B = \{b_1, \dots, b_n\}, C = \{c_1, \dots, c_n\}$  and tripels  $F = \{T_1, \dots, T_m\}$  ( $|T_i| = 3, |T_i \cap A| = |T_i \cap B| = |T_i \cap C| = 1$ ). Decide, whether there is a *perfect 3-dim. matching*, i.e. a subset  $F' \subseteq F$  of  $|F'| = n$  disjoint tripels.

Let  $t_j := |\{i \mid a_j \in T_i\}|$ . We define an **UNRELATED MACHINE SCHEDULING** instance with machines  $i = 1, \dots, m$  and the following set of jobs

- For  $j = 1, \dots, n$  we have a job  $b_j$  with processing time

$$p_{i,b_j} = \begin{cases} 1 & \text{if } b_j \in T_i \\ \infty & \text{otherwise} \end{cases}$$

- For  $j = 1, \dots, n$  we have a job  $c_j$  with processing time

$$p_{i,c_j} = \begin{cases} 1 & \text{if } c_j \in T_i \\ \infty & \text{otherwise} \end{cases}$$

- For every  $j = 1, \dots, n$  we create jobs  $D_{j,q}$  for  $q = 1, \dots, t_j - 1$  with

$$p_{i,D_{j,q}} = \begin{cases} 2 & \text{if } a_j \in T_i \\ \infty & \text{otherwise} \end{cases}$$

Perform the following tasks

- Show that if there is a perfect 3-dim matching, then the optimum makespan is at most 2.
- Show that if there is no perfect 3-dim matching, then the makespan is at least 3.
- Which inapproximability factor do you obtain for **UNRELATED MACHINE SCHEDULING**?

**Solution:**

i) Let  $F'$  be the perfect matching. If  $T_i = (a_i, b_k, c_\ell) \in F'$  then we assign jobs  $b_k$  and job  $c_\ell$  to machine  $i$  which then has a load of 2. Since  $F'$  covers every element in  $B$  and  $C$  exactly once, this assigns all  $B$  and  $C$  jobs. The  $t_j - 1$  many jobs of the form  $D_{j,q}$  are assigned to the  $t_j - 1$  many free machines  $T_i$  with  $a_j \in T_i$ . These machines then also have a load of 2. Hence the makespan is 2.

ii) We show the contraposition which is

$$\text{makespan} \leq 2 \Rightarrow \exists \text{ 3-dim perfect matching}$$

Recall that the cumulated running times are  $n + n + 2 \sum_{j=1}^n (t_j - 1) = 2 \sum_{j=1}^n t_j = 2m$ . We have  $m$  machines, hence each machine must have a load of exactly 2. Thus every machine has either one job  $D_{j,q}$  or one job  $b_k$  and one job  $c_\ell$ . For any machine  $i$ , where the latter case happens we must have  $b_k, c_\ell \in T_i$ . Actually there is an  $a_j$  with  $T_i = (a_j, b_k, c_\ell)$ . Hence we choose  $F'$  as the set of  $T_i$ 's, where this happens. The tripels in  $F'$  clearly cover every  $B$  and  $C$  element exactly once. So what happens to the  $A$ -elements. For any  $a_j$  we need  $t_j - 1$  of the machines  $i$  with  $a_j \in T_i$  to cover the jobs  $D_{j,q}$ . Hence at most one machine is used for the  $B$  and  $C$  jobs. In fact, exactly one machine must be used to handle  $B$  and  $C$  jobs. Hence every  $a_k$  will be contained in exactly one tripel.

iii) We obtain that for any  $\varepsilon > 0$ , there is no  $(\frac{3}{2} - \varepsilon)$ -approximation algorithm for UNRELATED MACHINE SCHEDULING.

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