

Last name:	First name:																											
<table style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Exercise:</td> <td style="border: 1px solid black; padding: 5px;">1</td> <td style="border: 1px solid black; padding: 5px;">2</td> <td style="border: 1px solid black; padding: 5px;">3</td> <td style="border: 1px solid black; padding: 5px;">4</td> <td style="border: 1px solid black; padding: 5px;">5</td> <td style="border: 1px solid black; padding: 5px;">6</td> <td style="border: 1px solid black; padding: 5px;">7</td> <td style="border: 1px solid black; padding: 5px;">Σ</td> </tr> <tr> <td style="padding: 5px;">max points:</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">8</td> <td style="border: 1px solid black; padding: 5px;">48</td> </tr> <tr> <td style="padding: 5px;">achieved points:</td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;"></td> </tr> </table>		Exercise:	1	2	3	4	5	6	7	Σ	max points:	8	8	8	8	8	8	8	48	achieved points:								
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Check whether the exam is complete: it should have 7 pages (Exercises 1–7). Write your name on the title page. Solutions have to be written below the exercises. Solutions must be comprehensible. In case of lack of space, additional paper can be asked from the exam supervision.

Use neither pencil nor red colored pen!

Duration: 180 min

Exercise 1: (Multiple Choice, points $\{-1, 0, 1\}$ each)

No justifications needed. Mark 'yes' or 'no'. **Wrong answers cause negative points!** Total number of points achieved cannot be negative.

- | | |
|--|---|
| a) Let $f, g : \mathbb{N} \rightarrow \mathbb{R}_+$ be monotone increasing functions. If $f = O(g)$, then $f(n) \leq g(n)$ for all sufficiently large n . | <input type="radio"/> yes <input type="radio"/> <u>no</u> |
| b) Given two natural numbers $a, b \in \mathbb{N}$, one can test whether a is a factor of b in polynomial time in the bit-size of a and b . | <input type="radio"/> <u>yes</u> <input type="radio"/> no |
| c) Given two natural numbers $a, b \in \mathbb{N}$, one can compute $a^b \in \mathbb{N}$ in polynomial time in the bit-size of a and b . | <input type="radio"/> yes <input type="radio"/> <u>no</u> |
| d) For all $n \in \mathbb{N}$ and for all $x \in \mathbb{Z}_n^*$ one has $x^{n-1} \equiv 1 \pmod{n}$. | <input type="radio"/> yes <input type="radio"/> <u>no</u> |
| e) Let R be a commutative ring and $\omega \in R$ a primitive n -th root of unity. Then ω^{n-1} is a primitive n -th root of unity. | <input type="radio"/> <u>yes</u> <input type="radio"/> no |
| f) Let F be a field and let $f \in F[x_1, x_2]$ be a non-zero polynomial of total degree d . Then f has at most d zeros. | <input type="radio"/> yes <input type="radio"/> <u>no</u> |
| g) Every non-trivial lattice contains at least two non-zero shortest vectors. | <input type="radio"/> <u>yes</u> <input type="radio"/> no |
| h) If F is a field of characteristic n , then there exists a primitive n -th root of unity $\omega \in F^*$. | <input type="radio"/> yes <input type="radio"/> <u>no</u> |

Exercise 2: (8 points)

Consider the following algorithm:

ABC(a, n)

Input: $a \in \mathbb{N}$, $n = 2^k$ a power of two.

```
1 Print the pair ( $a, n$ )
2 if  $n > 1$ 
3   then ABC( $a + 1, n/2$ )
4     ABC( $a + 2, n/2$ )
```

1. Write down, in the correct order, everything that is printed by the call ABC(1, 8).
2. Let $T(n)$ be the number of pairs printed in total by the call ABC(a, n) for any a . Derive an exact formula for $T(n)$.

Solution:

1. (1, 8), (2, 4), (3, 2), (4, 1), (5, 1), (4, 2), (5, 1), (6, 1), (3, 4), (4, 2), (5, 1), (6, 1), (5, 2), (6, 1), (7, 1)

2. We have $T(1) = 1$ and $T(n) = 2T(n/2) + 1$ for $n = 2^k \geq 2$ a power of two. This gives us

$$\begin{aligned} T(n) &= 2T(2^{k-1}) + 1 = 4T(2^{k-2}) + 2 + 1 \\ &= 2^j T(2^{k-j}) + \sum_{i=0}^{j-1} 2^i \\ &= 2^k T(1) + \sum_{i=0}^{k-1} 2^i = 2^k + 2^k - 1 \\ &= 2n - 1 \end{aligned}$$

Use reverse side if you need more space

Exercise 3: (8 points)

Let $N = pq$, $p \neq q$ primes. Show that N is not a Carmichael number.

Solution:

Here is a very direct way of proving this.

Consider first any $x \in \mathbb{Z}_N^*$ and keep in mind that $\mathbb{Z}_N^* \cong \mathbb{Z}_p^* \times \mathbb{Z}_q^*$. Looking at $x \pmod{p}$ one gets

$$x^{N-1} = x^{pq-1} = x^{(p-1)q+q-1} = x^{q-1} \pmod{p}$$

So if $q < p$, which we can assume without loss of generality, and x , viewed as an element of \mathbb{Z}_p^* , is a generator of \mathbb{Z}_p^* , then $x^{N-1} \not\equiv 1 \pmod{p}$ (because x has order $p-1 > q-1$) and thus $x^{N-1} \not\equiv 1 \pmod{N}$.

With this in mind and using the CRT, we simply choose x such that it is a generator of \mathbb{Z}_p^* modulo p and an arbitrary unit modulo q , say 1, and the x so chosen will not be a Fermat liar modulo N , which means that N is not Carmichael.

Use reverse side if you need more space

Exercise 4: (8 points)

Let R be a ring and let $\omega \in R$ be a primitive n -th root of unity where $n \geq 3$ is an odd number. Prove that ω^2 is a primitive n -th root of unity.

Solution:

Call $\eta = \omega^2$. We need to prove three things: $\eta^n = 1$, $\eta^k \neq 1$ for all $1 \leq k < n$, and $\eta^{n/t} - 1$ is not a zero divisor for proper divisors t of n ($n \in R^*$ is clear from the fact that ω is a primitive n -th root of unity).

1. $\eta^n = \omega^{2n} = (\omega^n)^2 = 1$. Assume $\eta^k = 1$. This implies $\omega^{2k} = 1$ which implies $2k$ is a multiple of n , so since n is odd this means that k is a multiple of n , contradiction.

2. We can factor

$$\omega^{n/t} - 1 = (\omega^{2n/t} - 1)(1 + \omega^{2n/t} + \omega^{4n/t} + \dots + \omega^{(t-1)n/t})$$

To see that this is true, observe that because n is odd, t must be odd and so $t - 1$ is even, so the right factor is really a sum of powers of $\omega^{2n/t}$ which means that all terms cancel except for the lowest power and the highest power, i.e. the right factor multiplies out to

$$\omega^{2n/t + (t-1)n/t} - 1 = \omega^{(t+1)n/t} - 1 = \omega^{n+n/t} - 1 = \omega^{n/t} - 1$$

So we see that $\eta^{n/t} - 1$ is a factor of an element which is not a zero divisor, and so it is also not a zero divisor. (If it were, then $(\eta^{n/t} - 1)x = 0$ for some non-zero $x \in R$, and then the above equality would show that also $(\omega^{n/t} - 1)x = 0$.)

Use reverse side if you need more space

Exercise 5: (8 points)

Let F be a field and let V be an F -vector space. For $f = \sum_{j=0}^n f_j x^j \in F[x]$ and $a = (a_i)_{i \in \mathbb{N}} \in V^{\mathbb{N}}$ we set

$$f \star a = \left(\sum_{j=0}^n f_j a_{i+j} \right)_{i \in \mathbb{N}} \in V^{\mathbb{N}}$$

Let $a \in V^{\mathbb{N}}$ be linearly recurrent with minimal polynomial $f \in F[x]$. Furthermore, let $g \in F[x]$ be the minimal polynomial of $x^m \star a$. Prove that $f = x^k g$ for some $0 \leq k \leq m$.

Solution:

First observe that

$$x^m \star a = (a_{i+m})_{i \in \mathbb{N}}$$

Let $g = \sum_{j=0}^n g_j x^j$ be the minimal polynomial of $x^m \star a$. Consider the polynomial

$$x^m g = \sum_{j=m}^{m+n} g_{j-m} x^j$$

Since for any starting offset $s \in \mathbb{N}_0$ one has

$$(x^m g \star a)_s = \sum_{j=m}^{m+n} g_{j-m} a_{s+j} = \sum_{j=0}^n g_j a_{s+j+m} = 0$$

due to the fact that g is a characteristic polynomial of $x^m \star a$. Thus $x^m g$ is a characteristic polynomial of a . It remains to show that g divides the minimal polynomial $f = \sum_{j=0}^r f_j x^j$ of a .

$$(f \star (x^m \star a))_s = \sum_{j=0}^r f_j a_{s+j+m} = (f \star a)_{s+m} = 0$$

It follows that f is a characteristic polynomial of $x^m \star a$ and so is divided by g .

To summarize, $f = pg$ for some polynomial $p \in F[x]$, but furthermore $x^m g = qf = pqg$ for some polynomial $q \in F[x]$. It follows that $pq = x^m$, and so $f = x^k g$ for some $0 \leq k \leq m$.

Use reverse side if you need more space

Exercise 6: (8 points)

The Euclidean algorithm applied to natural numbers $r_0 \geq r_1$ uses division with remainder to compute finite sequences $(r_j)_{j=0}^m$ and $(q_j)_{j=2}^m$ of natural numbers where $r_{j-2} = q_j r_{j-1} + r_j$ until $r_m = 0$.

1. Perform the Euclidean algorithm starting with $r_0 = 57$ and $r_1 = 42$. Explicitly write down the sequences (r_j) and (q_j) that you obtain. (Note: You should get $m = 5$ and the last q_j is $q_5 = 4$.)
2. Is $42 \in \mathbb{Z}_{57}^*$? Why? If it is, what is its inverse?
3. For arbitrary $a_1 \in \mathbb{N}_0, a_2, \dots, a_\ell \in \mathbb{N}_{\geq 1}$ we define the continued fraction

$$[a_1, \dots, a_\ell] := a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\ddots + \frac{1}{a_\ell}}}}$$

Show that $\frac{r_0}{r_1} = [q_2, \dots, q_m]$, where $(q_j)_{j=2}^m$ is the sequence computed by the Euclidean algorithm started with $r_0 \geq r_1$.

Solution:

1. $r = (57, 42, 15, 12, 3, 0), q = (1, 2, 1, 4)$.
2. No, because the above calculation shows $\gcd(42, 57) = 3$.
3. Proof by induction on m , i.e. essentially number of iterations of the Euclidean algorithm. Since the first division by remainder is always performed, we always have $m \geq 2$.

In the base case $m = 2$, we get $r_0 = q_2 r_1$, i.e. $\frac{r_0}{r_1} = q_2 = [q_2]$.

In the general case, first observe that the sequences of q_j and r_j that we get when starting the Euclidean algorithm with r_1 and r_2 are really the same as the sequences that we get when starting at r_0 and r_1 (except for the first step). So by induction hypothesis, we already know that

$$\frac{r_1}{r_2} = [q_3, \dots, q_m]$$

Furthermore, from $r_0 = q_2 r_1 + r_2$ we deduce

$$\frac{r_0}{r_1} = q_2 + \frac{r_2}{r_1} = q_2 + \frac{1}{\frac{r_1}{r_2}} = q_2 + \frac{1}{[q_3, \dots, q_m]} = [q_2, \dots, q_m]$$

Use reverse side if you need more space

Exercise 7: (8 points)

Let $\alpha > 0$ be a real number and let $L_\alpha = \{t \begin{pmatrix} 1 \\ \alpha \end{pmatrix} \mid t \in \mathbb{R}\}$ be the line in the plane of slope α through the origin. Consider the following algorithm that intends to approximate α with a rational number:

APPROXIMATE(α)

- 1 $x^{(0)} \leftarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix}, y^{(0)} \leftarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix}$
- 2 **for** $k \leftarrow 1, 2, \dots$
- 3 **do** $x^{(k)} \leftarrow x^{(k-1)} + a_k \cdot y^{(k-1)}$, where $a_k \in \mathbb{N}_0$ is maximal such that $x^{(k)}$ does not lie above L_α
- 4 **Stop** if $x^{(k)}$ lies on L_α
- 5 $y^{(k)} \leftarrow y^{(k-1)} + b_k \cdot x^{(k)}$, where $b_k \in \mathbb{N}_{\geq 1}$ is maximal such that $y^{(k)}$ does not lie below L_α
- 6 **Stop** if $y^{(k)}$ lies on L_α

Prove:

1. The numbers a_k and b_k are well-defined in the sense that the respective maximal natural number exists.
2. $\{x^{(k)}, y^{(k)}\}$ and $\{x^{(k+1)}, y^{(k+1)}\}$ are bases of the lattice \mathbb{Z}^2 for all k where they are defined. Furthermore, the half-open parallelepiped $P^{(k)}$ spanned by $x^{(k)}$ and $y^{(k)}$ contains no integer point except the origin. Here, $P^{(k)} = \{\lambda x^{(k)} + \mu y^{(k)} \mid 0 \leq \lambda < 1, 0 \leq \mu < 1\}$.
3. The line $L^{(k)}$ through the origin and $x^{(k)}$ is a best approximation of L_α from below in the following sense: Among all lines through the origin with rational slope $\frac{p}{q} \leq \alpha$ with $0 < q \leq x_1^{(k)}$, $L^{(k)}$ minimizes $|\alpha - \frac{p}{q}|$.

Solution:

1. To see that the possible values of a_k and b_k are bounded from above, observe that as long as the algorithm doesn't stop, $x^{(k)}$ is strictly below and $y^{(k)}$ is strictly above L_α . The ray

$$\{x^{(k-1)} + \lambda y^{(k-1)} \mid \lambda \geq 0\}$$

starts below L_α and has slope strictly greater than α , so it eventually intersects L_α , i.e. the possible values for a_k are bounded from above, and similarly for b_k .

To see that there are feasible values for a_k , it is enough to see that obviously 0 is a feasible value. For b_k , we have to argue that 1 is a feasible value: $y^{(k-1)} + 1 \cdot x^{(k)} = x^{(k-1)} + (a_k + 1)y^{(k-1)}$ is strictly above the line L_α by line 3 of the algorithm.

2. Clearly $\{x^{(0)}, y^{(0)}\}$ is a basis of \mathbb{Z}^2 . From this, the other pairs of vectors are derived using successive elementary column operations, so they are also bases. $P^{(k)}$ is the fundamental parallelepiped corresponding to the lattice basis $\{x^{(k)}, y^{(k)}\}$, so it contains no lattice point besides 0.
3. Consider the triangle with vertices $0, x^{(k)}$, and the intersection of L_α and the vertical line through $x^{(k)}$. This triangle is fully contained in $P^{(k)}$ except for the point $x^{(k)}$ itself, because the first coordinate of $y^{(k)}$ is greater than that of $x^{(k)}$.

Any rational line through the origin between $L^{(k)}$ and L_α with slope $\frac{p}{q}$ must contain an integer point with first coordinate at most q . In particular, this integer point lies in the triangle described above and so by the previous part, the only non-zero integer point in that region is $x^{(k)}$ itself. So the line must have been $L^{(k)}$ itself.

Use reverse side if you need more space